

Broadridge Data Aggregation Report Catalog

ACCURATE, COMPREHENSIVE AND ACTIONABLE





Contents

Introduction	3
Draft Mode	3
Batch Reports	3
Custom Reports	4
Report Kits	5
Performance Reports	6
Detailed Performance	6
Consolidated Performance	7
IRR Performance by Holdings	8
Performance For Selected Periods	9
Multi-Period Performance	10
Portfolio Reports	11
Account Value Summary	11
Comparative Value Summary	11
Position Reports	12
Current Positions	12
Appraisal	13
Asset Reports	14
Asset Allocation	14
Top 10 Holdings	15
Investment Style	16
Asset Allocation Breakdown	17
Asset Allocation Rebalancing	18
Asset Classification by Asset Type	19
Asset Classification by Industry Type	20
Fixed Income Sector	21
Realized Gain/Loss Reports	22
Insurance Reports	23
Insurance Account Detail	23
Insurance Portfolio Premium	23



Insurance Benefit Composition.....	24
Insurance Portfolio Account Review	25
Report Builder.....	26
Change in Value Modules.....	26
Performance Modules.....	27
Holdings Summary Module.....	29
Asset Allocation Module.....	29
Report Disclosures and Glossary of Terms.....	30

Introduction

Data Aggregation and Financial Reporting provides access to an extensive library of investment and insurance specific reports that help you manage your client needs and grow your business. Built off our unparalleled enterprise data management platform we can deliver a holistic view of all client assets and liabilities across any combination of accounts and custom groups or households.

Ranging from transactions, holdings, asset allocation, and performance the reports can run on-demand or scheduled with a professional cover page in PDF. All reports can be created for broad range of time periods:

START DAY TO END DAY	SINCE INCEPTION	PRIOR CLOSE OF BUSINESS
LAST WEEKDAY	START OF MONTH	START OF LAST MONTH
END OF LAST MONTH	START OF QUARTER	START OF LAST QUARTER
END OF LAST QUARTER	START OF YEAR	START OF LAST YEAR
LAST CALENDAR DAY (S-F)	TRAILING 1 YEAR	

Draft Mode

You can preview your report before making it ready for the client. By selecting Draft Mode you can review and make changes to the reports prior to the final draft. This provides a watermark on the report to distinguish between reports ready for client distribution. This option is then disabled when ready to present the report for a formal client review.

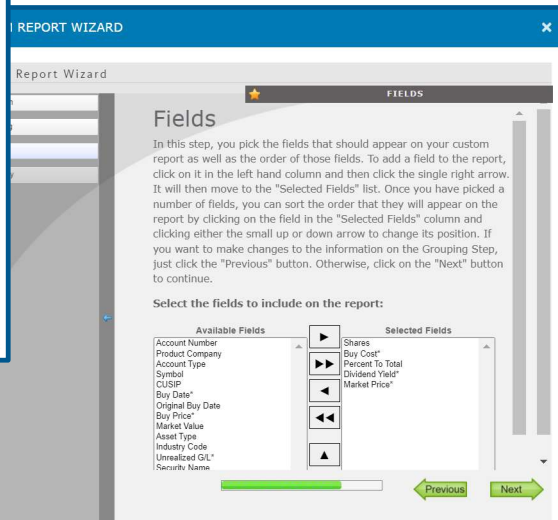
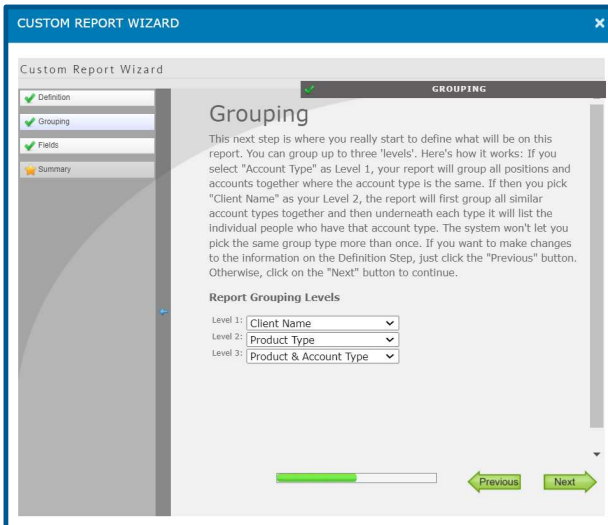
Batch Reports

To save time during client review meetings, you can automatically schedule reports to run for a multiple of time periods including morning, evening, the beginning of each week, month, quarter, year, semi-annually, or annually. All your reports are organized in a report schedule page so you can see what report is coming when and easily download what you need.

REPORT SCHEDULES									
CREATED BY	SCHEDULE NAME	REPORT NAME	REFERENCE	CLIENT ACCESS	SCHEDULE	LAST RUN	NEXT RUN	EDIT	DELETE
325		Trades		<input type="checkbox"/>	Every Morning	10/14/2022 12:00:03 PM	10/17/2022		
DRone247		Asset Allocation Break Down	Conrad & Peggy Ellis	<input type="checkbox"/>	Every Evening	10/14/2022 07:00:03 PM	10/17/2022		
KB0123		Appraisal	Thurman Pacheco	<input checked="" type="checkbox"/>	Every Evening	10/14/2022 07:00:04 PM	10/17/2022		
958		Test007	Peter & Tinkerbell Pan	<input type="checkbox"/>	Every Evening	10/14/2022 07:00:04 PM	10/17/2022		
958	test schedule name	Detailed Performance Report, TWRR	Peter & Tinkerbell Pan	<input type="checkbox"/>	Every Evening	10/14/2022 07:00:04 PM	10/17/2022		
mmmm		Assets Under Management		<input type="checkbox"/>	Beginning Of Each Week	10/15/2022 07:00:04 PM	10/22/2022		

Custom Reports

Custom Reports give the user the ability to create their own position reports. These reports can be tailor made to suit the needs of each client individually or for the entire book of business. The user can choose from fields such as share amount, price per share, market value and security name, cusip and symbol.



Tracy Aguirre Investment Portfolio
Client Review
For Period Ending 6/30/2022

Carol Yezzo
Broadridge Financial Solutions
(866) 209-2440

Broadridge

Client Review

Buy Date	Buy Price	Symbol	CUSIP	Shares	Security Name	Market Price	Market Value
Tracy Aguirre, Jami Aguirre							
0037269013870							
AMERICAN FUNDS							
11/10/21	(\$13.800)	AIBAX	458809100	1762.896	INTERMEDIATE BOND FUND OF AMERICA-A	\$13.28	\$23,411.26
12/15/21	(\$1.000)	CTAXX	147548101	21292.700	The Cash Management Trust Of America-A	\$1.00	\$21,292.70
11/10/21	(\$12.208)	AHITX	026547109	1343.679	AMERICAN HIGH-INCOME TRUST-A	\$11.37	\$15,277.63
11/10/21	(\$20.681)	CWBFX	140541103	396.118	CAPITAL WORLD BOND FUND-A	\$20.47	\$8,108.54
11/10/21	(\$51.800)	AEPGX	298706102	160.969	EUROPACIFIC GROWTH FUND-A	\$48.32	\$7,778.02
11/10/21	(\$34.963)	AWSHX	939330106	236.456	WASHINGTON MUTUAL INVESTORS FUND-A	\$31.61	\$7,474.37
11/10/21	(\$20.792)	AMCPX	023375108	395.278	AMCAP FUND-A	\$18.61	\$7,356.12
11/10/21	(\$42.870)	SMCWX	831681101	198.802	SMALLCAP WORLD FUND-A	\$37.00	\$7,355.67
					AMERICAN FUNDS	Total:	\$98,054.32
					0037269013870	Total:	\$98,054.32
0044600344359							
COLUMBIA NY 529 ADVISOR PLAN							
03/25/22	(\$14.010)		649812500	1078.750	GROWTH NY 529 - A	\$13.27	\$14,315.01
					COLUMBIA NY 529 ADVISOR PLAN	Total:	\$14,315.01
					0044600344359	Total:	\$14,315.01
					Tracy Aguirre, Jami Aguirre	Total:	\$112,369.33
Group Totals:							\$277,787.63

Income and yield figures represent historical payments received within the 12 months prior to the date of the report. Past performance is no guarantee of future results.

Report Prepared By: Susanne Smith CFP® on October 10, 2022 at 7:17:33 AM US Eastern Standard Time

Page 2 of 4

Report Kits

Broadridge gives its users the ability to create custom report kits or report packages using a blend of performance, asset allocation, position, and insurance reports. The user can create multiple report kits without limitation for individual client or their complete book of business. These report kits can be scheduled to automatically run for a time frame of the user's choice.

The image shows two overlapping screenshots of the 'REPORT KIT WIZARD' interface.

Step 1 of 3: This screen contains input fields for 'Report Kit Title (external):' (with 'Client Review' entered), 'Report Kit Title (internal):' (with 'Client Review' entered), and a larger 'Report Kit Description:' field. At the bottom, there is a 'Report Kit Orientation:' dropdown menu set to 'Landscape' and a 'Public:' checkbox.

Step 2 of 3: This screen is titled 'Select the reports to include in this Report Kit:'. It features two main panels:

- Available Reports:** A tree view showing a hierarchy of reports. Under 'All Reports', there is a 'Custom Reports' folder containing: 'Public', '27152, Prod Co Field', 'Asset Classifications', 'AUM For Specific Date, 28941', 'Axa, custom report', 'Client Review', 'Client Review test', 'Custom Current Positions', 'Custom Report Sample', 'Date Opened', 'dsfssdf', and 'Group Report internal title'.
- Selected Reports:** A list of reports that have been chosen for the kit. The list includes: 'Detailed Performance Report, TWRR with Data and Graph', 'Insurance Portfolio Account Review Data Only', 'IRR Performance by Holdings Data Only', 'Appraisal By Account Owner with Data and Graph', 'Asset Allocation Break Down with Data and Graph', 'Asset Allocation Rebalancing with Data and Graph', 'Asset Classification By Asset Type with Data and Graph', 'Asset Classification By Industry Type with Data and Graph', 'Comparative Value Detail By Account Owner with Data and Graph', 'Comparative Value Summary Data Only', 'Comparative Value Summary By Account Owner Data Only', 'Comparative Value Summary By Account Type Data Only', 'Consolidated Performance, TWRR Data Only', 'Current Positions by Account Owner with Data and Graph', 'Current Positions by Account Type with Data and Graph', 'Insurance Account Detail Data Only', 'Insurance Benefit Composition Data Only', 'Insurance Portfolio Account Review - Consolidated Data Only', 'Insurance Portfolio Premiums Data Only', 'Investment Style Report Data Only', 'Multi-Period Performance Report, TWRR Data Only', 'Performance for Selected Periods, TWRR Data Only', 'Stock Sector Report Data Only', and 'Top 10 Holdings Report Data Only'.

The image shows a page from a report with the following content:

Header: Broadridge logo in the top right corner.

Page Content:

- Left side:** Tracy Aguirre Investment Portfolio
DETAILED PERFORMANCE REPORT, TWRR
For Period from 1/1/2022 to 6/30/2022
- Right side:** Presented By:
Carol Yezno
Broadridge Financial Solutions
(866) 235-2440
carol.yezno@broadridge.com

Page Footer:

- Bottom left: Report Prepared By: Susanne Smith CFP® on October 10, 2022 at 7:11:17 AM US Eastern Standard Time
- Bottom right: Page 1 of 7

Performance Reports

Detailed Performance

The Detailed Performance Report uses the Modified Dietz Method to calculate a return for a customized date range of the user's choosing. This report is used to measure performance for an individual account, or it can be used to determine aggregate returns for an entire client portfolio. Options include the use of up to six individual indices or blended, composite indices for benchmark comparisons. This report is also available with an option to determine performance using a simple calculation.

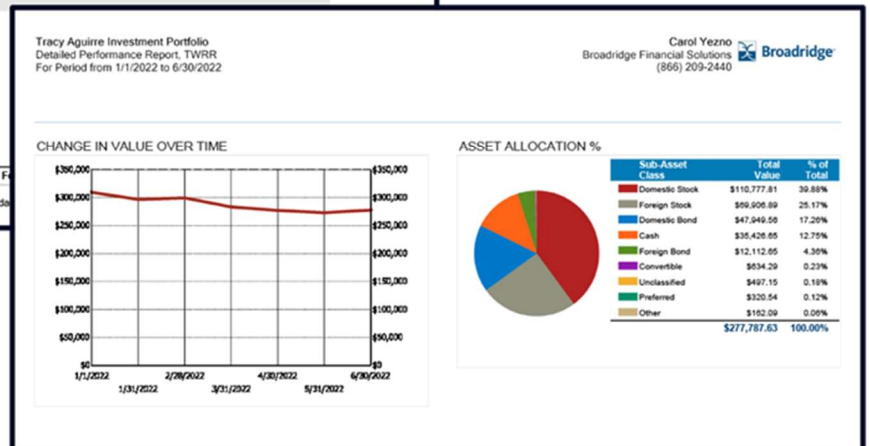
Tracy Aguirre Investment Portfolio
Detailed Performance Report, TWRR
For Period from 1/1/2022 to 6/30/2022

Carol Yezno
Broadridge Financial Solutions
(866) 209-2440

TIME-WEIGHTED RATE OF RETURN (TWRR)

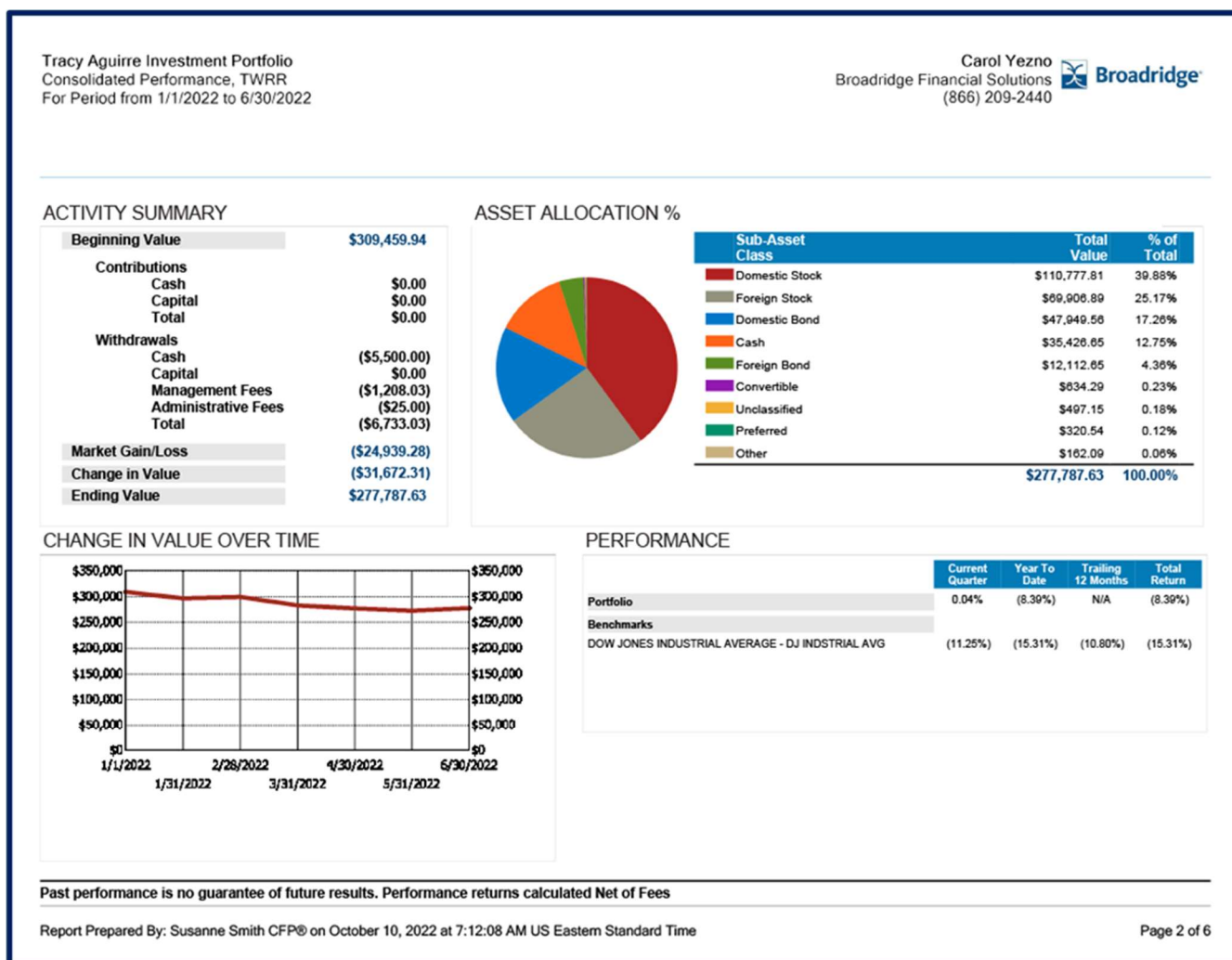
	Beginning Value	Contributions	Withdrawals	Fees	Market Gains/Loss	Ending Value	TWRR	Annualized
Tracy Aguirre Investment Portfolio								
	\$309,459.94	\$0.00	(\$5,500.00)	(\$1,233.03)	(\$24,939.28)	\$277,787.63	(8.39%)	N/A
Tracy & Jami Aguirre - 529 Plan								
0044600344359 - Columbia NY 529 Advisor Plan	\$16,060.98	\$0.00	\$0.00	(\$25.00)	(\$1,720.97)	\$14,315.01	(10.71%)	N/A
Tracy & Jami Aguirre - Mutual Fund - Joint								
0037269013870 - American Funds	\$100,342.23	\$0.00	\$0.00	\$0.00	(\$2,287.91)	\$98,054.32	(2.28%)	N/A
Tracy Aguirre - Third Party Money Manager - Individual								
006315358636 - Charles Schwab	\$193,056.73	\$0.00	(\$5,500.00)	(\$1,208.03)	(\$20,930.40)	\$165,418.30	(11.36%)	N/A
Benchmarks								
DOW JONES INDUSTRIAL AVERAGE - DJ INDUSTRIAL AVG	36338.30							

Past performance is no guarantee of future results. Performance returns calculated Net of Fees.
Report Prepared By: Susanne Smith CFP® on October 10, 2022 at 7:11:17 AM US Eastern Standard Time




Consolidated Performance

The Consolidated Performance Report is a detailed one-page report that is best used to determine aggregate performance for an entire client portfolio; however, it can be used to calculate individual account performance too. Options include the ability to select a date range and the use of a simple return calculation.



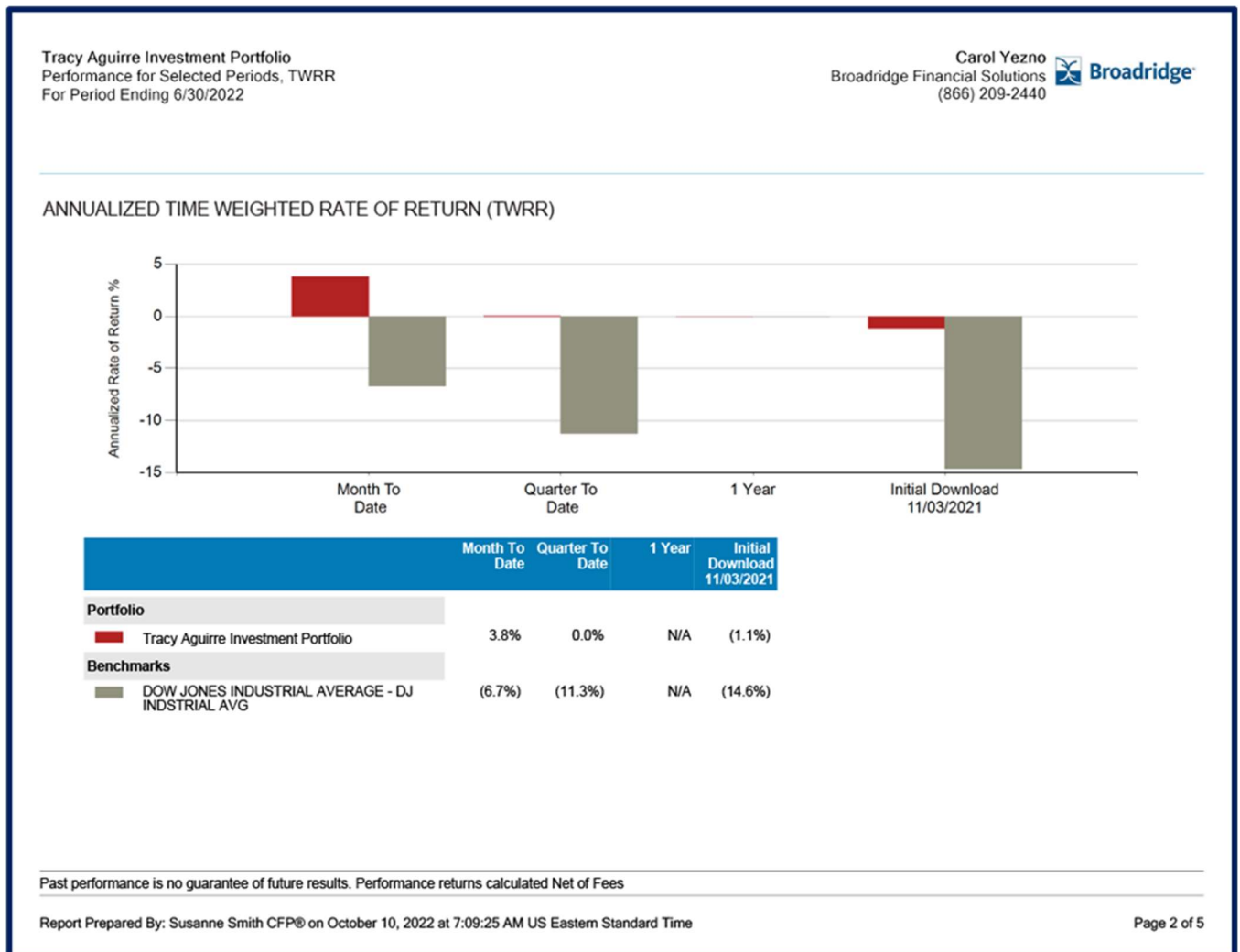
IRR Performance by Holdings

The Internal Rate of Return Report uses a dollar weighted calculation to determine the rate of return for each individual holding in a client portfolio. User options for this report include setting a custom date range and the ability to view an annualized rate of return.

Tracy Aguirre Investment Portfolio IRR Performance by Holdings For Period from 1/1/2022 to 6/30/2022								Carol Yezno Broadridge Financial Solutions (866) 209-2440			
IRR PERFORMANCE BY HOLDINGS											
Group Summary											
Group Name	Group Description	Beginning Value	Ending Value	Difference	Total Inflows	Total Outflows	IRR Return				
Tracy Aguirre Investment Portfolio		\$309,459.94	\$277,787.63	(\$31,672.31)	\$60,000.00	(\$66,733.03)	(8.47%)				
Symbol/Cusip	Description	Beginning Value	Ending Value	Difference	Total Inflows	Total Outflows	IRR Return				
Mutual Fund											
649812104	AGGRESSIVE GROWTH NY 529 - A	\$16,060.98	\$0.00	(\$16,060.98)	\$0.00	(\$15,139.96)	(5.73%)				
649812500	GROWTH NY 529 - A	\$0.00	\$14,315.01	\$14,315.01	\$15,139.96	(\$25.00)	(5.29%)				
AEPGX	EUROPACIFIC GROWTH FUND-A	\$2,114.76	\$7,778.02	\$5,663.27	\$6,338.26	(\$338.26)	(6.38%)				
AHITX	AMERICAN HIGH-INCOME TRUST-A	\$3,968.75	\$15,277.63	\$11,308.88	\$12,385.05	(\$385.05)	(6.71%)				
AIBAX	INTERMEDIATE BOND FUND OF AMERICA-A	\$5,869.59	\$23,411.26	\$17,541.67	\$18,309.58	(\$309.58)	(2.99%)				
AMCPX	AMCAP FUND-A	\$2,022.60	\$7,356.12	\$5,333.52	\$6,218.48	(\$218.48)	(12.76%)				
AWSHX	WASHINGTON MUTUAL INVESTORS FUND-A	\$2,003.66	\$7,474.37	\$5,470.72	\$6,262.54	(\$262.54)	(10.20%)				
CTAXX	The Cash Management Trust Of America-A	\$80,246.25	\$21,292.70	(\$58,953.55)	\$1,046.45	(\$61,046.45)	2.13%				
CWBFX	CAPITAL WORLD BOND FUND-A	\$1,988.84	\$8,108.54	\$6,119.70	\$6,176.22	(\$176.22)	2.34%				
DFALX	*Dfa Large-Cap International Fund	\$8,000.83	\$7,351.25	(\$649.58)	\$160.78	\$0.00	(10.00%)				
DFCEX	DFA Emerging Markets	\$8,055.06	\$7,265.90	(\$789.16)	\$75.16	\$0.00	(10.67%)				
DFGFX	Dfa Two Year Global	\$5,163.39	\$5,173.39	\$10.01	\$0.00	\$0.00	0.19%				
DFIEX	DFA International Core	\$25,764.99	\$23,152.68	(\$2,612.31)	\$435.30	\$0.00	(11.70%)				
DFIHX	Dfa One Year Fixed	\$7,827.45	\$10,013.95	\$2,186.50	\$6,704.03	(\$4,500.00)	(0.21%)				
Past performance is no guarantee of future results. Performance returns calculated Net of Fees.											
Report Prepared By: Susanne Smith CFP® on October 10, 2022 at 7:08:26 AM US Eastern Standard Time								Page 2 of 7			

Performance For Selected Periods

The Performance for Selected Periods Report utilizes a time weighed calculation to determine returns for different time periods. The user can select month, quarter or year to date returns or for longer periods of time such as one, three or five years. Options include the use of a cumulative return or annualized return for selected periods and the ability to compare performance for up to six individual indices or blended, composite indices for benchmark comparisons.



Multi-Period Performance

The Multi-Period Performance Report provides aggregate and individual account performance for current year to date returns as well as returns for the previous three years. An asset allocation graph and benchmark index comparisons are provided.

PORTFOLIO SUMMARY										
Market Value 12/31/2019	1/1/2020-12/31/2020			1/1/2021-12/31/2021			Year-To-Date			
	Contributions	Withdrawals	Market Value 12/31/2020	Rate Of Return	Contributions	Withdrawals	Market Value 12/31/2021	Rate Of Return	Market Value 6/30/2022	Rate Of Return
\$0.00	\$0.00	\$0.00	\$0.00	0.0%	\$275,000.00	\$0.00	\$309,459.94	7.9%	\$277,787.63	(8.4%)

ACCOUNT SUMMARY										
Market Value 12/31/2019	1/1/2020-12/31/2020		Market Value 12/31/2020	2020 Rate Of Return	1/1/2021-12/31/2021		Market Value 12/31/2021	2021 Rate Of Return	Market Value 6/30/2022	YTD Rate Of Return
	Contributions	Withdrawals			Contributions	Withdrawals				
Third Party Money Manager - Individual										
006315358636 - Charles Schwab - Tracy Aguirre										
\$0.00	\$0.00	\$0.00	\$0.00	0.0%	\$175,000.00	\$0.00	\$193,056.73	10.3%	\$165,418.30	(11.4%)
Third Party Money Manager - Individual Total:										
\$0.00	\$0.00	\$0.00	\$0.00		\$175,000.00	\$0.00	\$193,056.73		\$165,418.30	
Mutual Fund - Joint										
0037269013870 - American Funds - Tracy & Jami Aguirre										
\$0.00	\$0.00	\$0.00	\$0.00	0.0%	\$100,000.00	\$0.00	\$100,342.23	0.1%	\$90,054.32	(2.3%)
Mutual Fund - Joint Total:										
\$0.00	\$0.00	\$0.00	\$0.00							
529 Plan										
0044600344359 - Columbia NY 529 Advisor Plan - Tracy & Jami Aguirre										
\$0.00	\$0.00	\$0.00	\$0.00	0.0%						
529 Plan Total:										
\$0.00	\$0.00	\$0.00	\$0.00							

Past performance is no guarantee of future results. Performance returns calculated Net of Fees
Report Prepared By: Susanne Smith CFP® on October 10, 2022 at 7:13:45 AM US Eastern St

PERFORMANCE VS. BENCHMARK SUMMARY			
	2020 Rate Of Return	2021 Rate Of Return	YTD Rate Of Return
Portfolio	0.0%	7.9%	(8.4%)
Benchmarks			
DOW JONES INDUSTRIAL AVERAGE - DJ INDUSTRIAL AVG	7.25%	18.73%	(15.31%)


ASSET ALLOCATION %			
Sub-Asset Class	Total Value	% of Total	
Domestic Stock	\$110,777.81	39.89%	
Foreign Stock	\$60,006.80	25.17%	
Domestic Bond	\$47,049.56	17.29%	
Cash	\$35,426.05	12.75%	
Foreign Bond	\$12,112.05	4.36%	
Convertible	\$934.29	0.33%	
Unclassified	\$497.15	0.18%	
Preferred	\$320.04	0.12%	
Other	\$182.09	0.06%	
	\$277,787.63	100.00%	

Performance figures are Time Weighted (TWRR)

Portfolio Reports.


Account Value Summary

The Account Value Summary Report is a high-level report that provides account and or aggregate values for any date the user chooses in an easy to ready snapshot view.

Tracy Aguirre Investment Portfolio Account Value Summary For Period Ending 6/30/2022				Carol Yezno Broadridge Financial Solutions (866) 209-2440 	
ACCOUNT VALUE SUMMARY DATA					
Account Number	Account Type	Product Company	Client Name	Total Value	
006315358636	Third Party Money Manager - Individual	Charles Schwab	Tracy Aguirre	\$165,418.30	
0037269013870	Mutual Fund - Joint	American Funds	Tracy Aguirre, Jami Aguirre	\$98,054.32	
0044600344359	529 Plan	Columbia NY 529 Advisor Plan	Tracy Aguirre, Jami Aguirre	\$14,315.01	
Portfolio Summary:					
Group Totals:				\$277,787.63	

Comparative Value Summary

The Comparative Value Summary Report allows the user to choose two points in time for a comparison of values making a side-by-side difference in account value change easy to understand.

Tracy Aguirre Investment Portfolio Comparative Value Summary For Period from 1/1/2022 to 6/30/2022				Carol Yezno Broadridge Financial Solutions (866) 209-2440 	
ACCOUNT VALUE SUMMARY DATA					
Account Number	Account Type	Product Company	Client Name	Market Value 1/1/2022	Market Value 6/30/2022
006315358636	Third Party Money Manager - Individual	Charles Schwab	Tracy Aguirre	\$192,371.63	\$165,418.30
0037269013870	Mutual Fund - Joint	American Funds	Tracy Aguirre, Jami Aguirre	\$100,344.21	\$98,054.32
0044600344359	529 Plan	Columbia NY 529 Advisor Plan	Tracy Aguirre, Jami Aguirre	\$15,967.84	\$14,315.01
Portfolio Summary:					
Group Totals:				\$308,683.68	\$277,787.63


Position Reports

Broadridge offers many different types of position reports. These reports display the client's individual holdings for a specific point in time. The values reported will reflect the market close of the date the user chooses. The user also has the option to create custom position reports where they can choose only the fields they wish to see. These fields include, but are not limited to, share price, market value, buy price and security information such as symbol, cusip and description.

Current Positions

The Current Position Report displays the client's holdings for a specific date in time. These reports do not reflect net cost and gain/lost information. You can run the Current Positions at the individual account level to display information directly related to that account, or you can run the report to display aggregate totals for the client's entire portfolio.

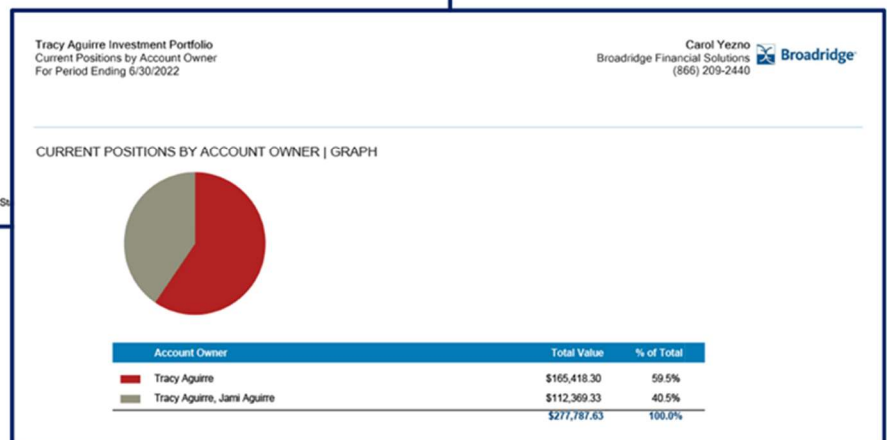
Tracy Aguirre Investment Portfolio
Current Positions by Account Owner
For Period Ending 6/30/2022

Carol Yezno
Broadridge Financial Solutions
(866) 209-2440 

CURRENT POSITIONS BY ACCOUNT OWNER | DATA

Quantity	Description / Symbol	Date Open	Market Price	Total Value	% of Total	Historical Yield
Account 0044600344359 - Columbia NY 529 Advisor Plan						
529 Plan						
Mutual Fund						
1078.750	GROWTH NY 529 - A	3/25/22	\$13.27	\$14,315.01	5.2%	N/A
Account 0044600344359 Total:				\$14,315.01	5.2%	N/A
Tracy Aguirre, Jami Aguirre Totals:				\$112,369.33	40.5%	3.2%
Portfolio Summary:						
Non Held-Away Asset Total:				\$277,787.63	100.0%	1.3%
Group Totals:				\$277,787.63	100.0%	1.3%

Report Prepared By: Susanne Smith CFP® on October 10, 2022 at 7:16:11 AM US Eastern St



Appraisal

The Appraisal Reports display the client's holdings for a date of the user's choosing. Appraisal reports include net cost amounts and gain/loss information. The values reported will reflect the market close of the date the user entered on the report hub.

Tracy Aguirre Investment Portfolio
Appraisal By Account Owner
For Period Ending 6/30/2022

Carol Yezno
Broadridge Financial Solutions
(866) 209-2440

APPRAISAL BY ACCOUNT OWNER | DATA

Quantity	Description	Date Open	Net Cost	Market Price	Total Value	% of Total	Gain Loss
Tracy Aguirre							
Account 006315358636 - Charles Schwab							
Third Party Money Manager - Individual							
Mutual Fund							
3774.899	DFA U.S. Core Equity 2	11/6/21	(\$44,030.40)	\$10.93	\$41,259.65	14.9%	(\$2,770.75)
1759.322	DFA International Core	11/6/21	(\$23,164.72)	\$13.16	\$23,152.68	8.3%	(\$12.04)
919.155	DFA Tax Managed Us Marke	11/6/21	(\$16,076.03)	\$16.08	\$14,780.01	5.3%	(\$1,296.02)
701.403	DFA Tax Managed Us Targe	11/6/21	(\$16,705.60)	\$20.58	\$14,434.87	5.2%	(\$2,270.73)
984.656	Dfa One Year Fixed	11/6/21	(\$10,030.32)	\$10.17	\$10,013.95	3.6%	(\$16.37)
378.980	*Dfa Real Estate Securities Fund	11/6/21	(\$10,158.30)	\$24.95	\$9,455.55	3.4%	(\$702.75)
295.706	*Dfa Large-Cap International Fund	11/6/21					
371.088	DFA Emerging Markets	11/6/21					
386.614	DFA Tax Managed Intl	11/6/21					
555.452	*Dfa U.S. Micro-Cap Fund	11/6/21					
357.505	*Dfa International Small-Cap Value Fund	11/6/21					
500.328	Dfa Two Year Global	11/6/21					
616.246	Dfa Intl Real Estate	11/6/21					
213.845	DFA Inflation Protected	11/6/21					
218.443	Schwab Inflation	11/6/21					
Mutual Fund Total:							
Cash balance							

Past performance is no guarantee of future results.

Report Prepared By: Susanne Smith CFP® on October 13, 2022 at 12:48:26 PM US Eastern Standard Time

Tracy Aguirre Investment Portfolio
Appraisal By Account Owner
For Period Ending 6/30/2022

Carol Yezno
Broadridge Financial Solutions
(866) 209-2440

APPRAISAL BY ACCOUNT OWNER | GRAPH

Account Owner	Total Value	% of Total
Tracy Aguirre	\$165,418.30	59.5%
Tracy Aguirre, Jami Aguirre	\$112,369.33	40.5%
Total	\$277,787.63	100.0%

Past performance is no guarantee of future results.

Report Prepared By: Susanne Smith CFP® on October 13, 2022 at 12:48:26 PM US Eastern Standard Time

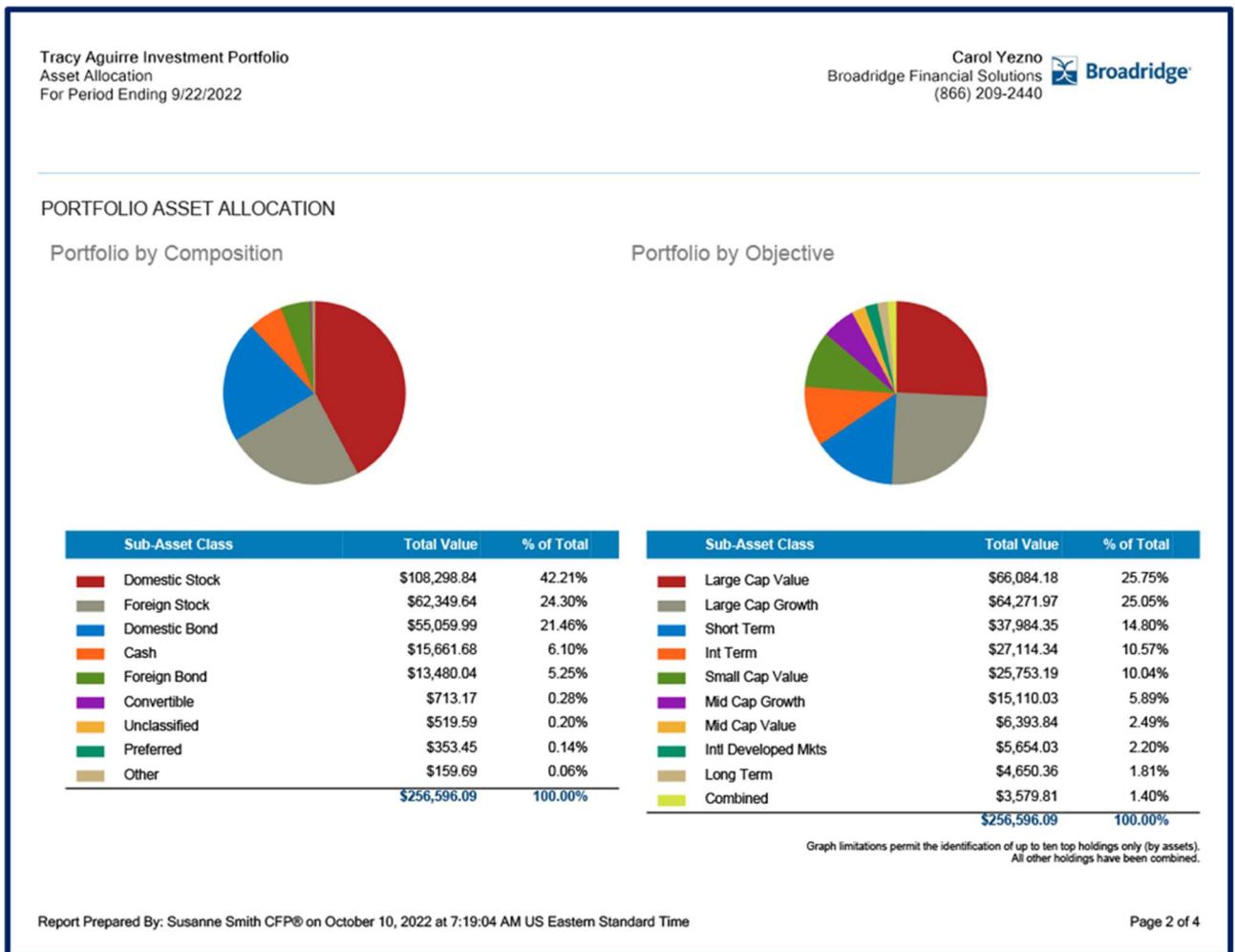
Page 5 of 7

Asset Reports

Asset Classification reports reflect the distribution of the holdings by asset type for a client portfolio by composition and/or objective. The user has the option to view client portfolio models as a whole or position by position and the ability to create proposed asset allocation models.

Asset Allocation

The Asset Allocation Report graphically reflects the allocation of the holdings within an account or group. The user can choose to show allocation by composition, objective, or both.



Top 10 Holdings

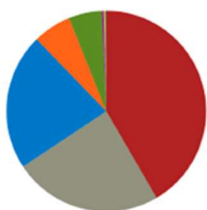
The Top Ten Holdings Report displays the top ten positions based on market value. The percentage weight column is the percentage of market value per specific position against an individual account or as an aggregate total. The percentage weight column is the percentage of market value per specific position.

Tracy Aguirre Investment Portfolio
Top 10 Holdings Report
For Period Ending 9/30/2022

Carol Yezno
Broadridge Financial Solutions
(866) 209-2440



TOP 10 HOLDINGS BY ASSET CLASS



Asset Class	% of Net Asset
Domestic Stock	41.60%
Foreign Stock	24.10%
Domestic Bond	22.01%
Cash	6.21%
Foreign Bond	5.39%
Convertible	0.29%
Unclassified	0.20%
Preferred	0.14%
Other	0.06%
Percentage of Portfolio Represented	100.00%

Percentage based on top 10 holdings only.

TOP 10 HOLDINGS

Asset	%Cash	%U.S Stock	%Foreign Stock	%Bond	%Other	%Not Classified	%Weight
DFA U.S. Core Equity 2	1.00%	97.70%	1.10%	0.00%	0.00%	0.00%	15.06%
INTERMEDIATE BOND FUND OF AMERICA-A	17.40%	0.10%	0.00%	81.40%	0.00%	0.00%	11.74%
AMERICAN HIGH-INCOME TRUST-A	6.50%	2.90%	0.10%	88.80%	0.20%	0.00%	7.61%
DFA International Core	1.10%	0.90%	97.90%	0.00%	0.00%	0.00%	7.22%
GROWTH NY 529 - A	2.69%	62.91%	15.79%	17.52%	0.10%	0.00%	5.33%
DFA Tax Managed Us Marke	0.10%	99.00%	0.80%	0.00%	0.00%	0.00%	5.20%

Report Prepared By: Susanne Smith CFP® on October 10, 2022 at 7:20:07 AM US Eastern Standard Time

Page 2 of 5

Asset Allocation Breakdown

The Asset Allocation Breakdown Report lists all the client holdings broken down by asset classification. The user can choose to display allocation by composition or objective.

Security Description	Total Value	% of Category	% of Total
Domestic Stock			
DFA U.S. Core Equity 2	\$59,937.32	43.40%	20.38%
DFA Tax Managed Us Marke	\$22,263.44	16.12%	7.57%
DFA Tax Managed Us Targe	\$13,150.66	9.52%	4.47%
WASHINGTON MUTUAL INVESTORS FUND-A	\$8,499.28	6.15%	2.89%
GROWTH NY 529 - A	\$8,455.87	6.12%	2.88%
*Dfa Real Estate Securities Fund	\$8,450.94	6.12%	2.87%
AMCAP FUND-A	\$7,093.47	5.14%	2.41%
*Dfa U.S. Micro-Cap Fund	\$5,687.78	4.12%	1.93%
SMALLCAP WORLD FUND-A	\$3,490.83	2.53%	1.19%
AMERICAN HIGH-INCOME TRUST-A	\$546.59	0.40%	0.19%
EUROPACIFIC GROWTH FUND-A	\$168.86	0.12%	0.06%
DFA International Core	\$164.05	0.12%	0.06%
*Dfa Large-Cap International Fund	\$61.60	0.04%	0.02%
DFA Tax Managed Intl	\$50.42	0.04%	0.02%
*Dfa International Small-Cap Value Fund	\$36.70	0.03%	0.01%
INTERMEDIATE BOND FUND OF AMERICA-A	\$29.07	0.02%	0.01%
Dfa Intl Real Estate	\$10.24	0.01%	0.00%
DFA Emerging Markets	\$5.91	0.00%	0.00%
Domestic Stock Total:	\$138,103.02	100.00%	46.96%

Tracy Aguirre Investment Portfolio
Asset Allocation Break Down
For Period Ending 10/13/2022

Carol Yezno
Broadridge Financial Solutions
(866) 209-2440



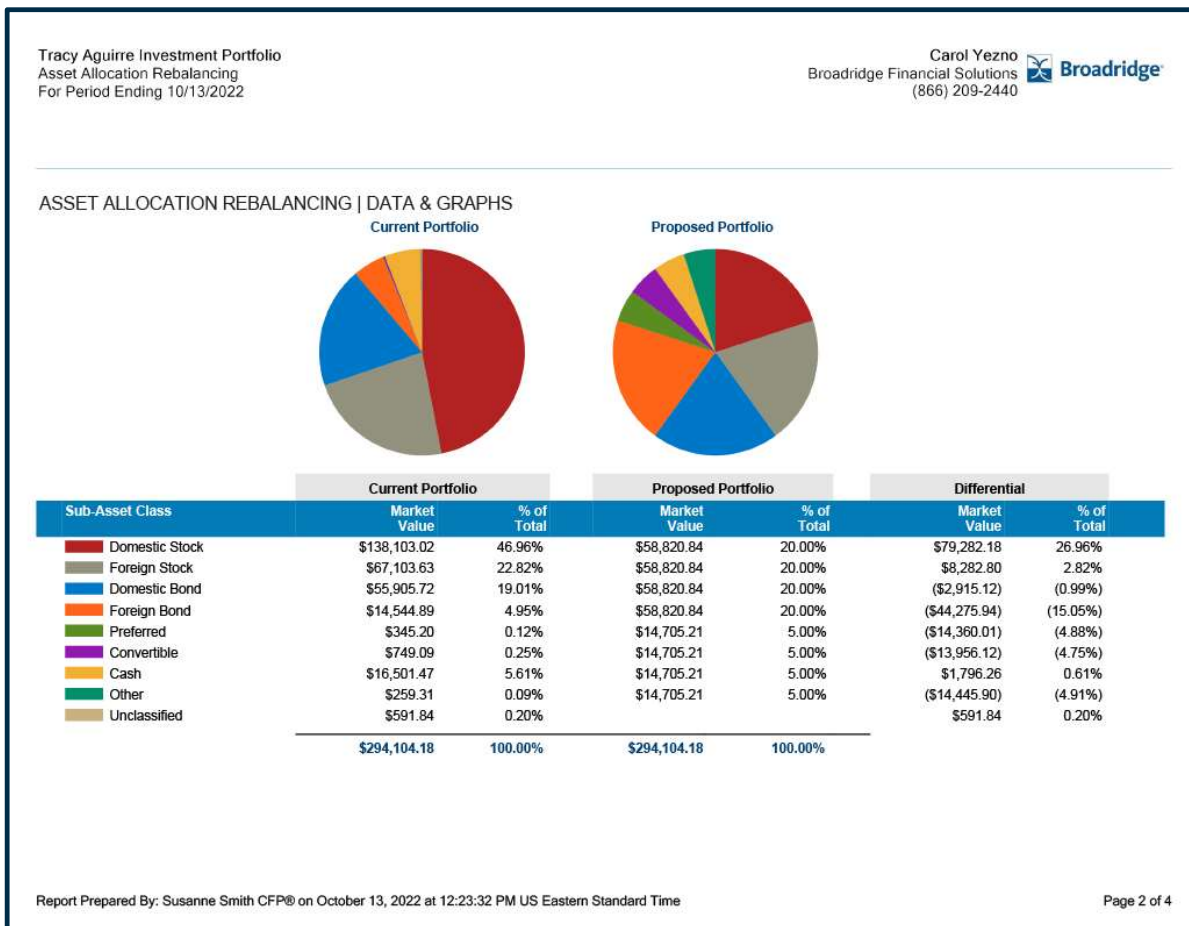
ASSET ALLOCATION BREAKDOWN | DATA

Report Prepared By: Susanne Smith CFP® on October 13, 2022 at 12:47:08 PM US Eastern Standard Time

Page 2 of 19

Asset Allocation Rebalancing

The user can enter proposed allocation models in the application and the Asset Allocation Rebalancing Report allows the user to present their recommendations to a client. The report reflects the proposed allocation, the actual asset allocation, and the difference between the two. The user has the option to display allocation by composition or objective.



Asset Classification by Asset Type

The Asset Classification by Asset Type Report groups accounts by asset type such as Common Stock, Insurance Products, Money Markets, Fixed Income and Mutual Funds. Each asset type will have a running subtotal reflected on the report.

Tracy Aguirre Investment Portfolio Asset Classification By Asset Type For Period Ending 10/13/2022		Carol Yezno Broadridge Financial Solutions (866) 209-2440			
ASSET TYPE DATA					
Quantity	Description / Symbol	Market Price	Total Value	% of Total	
Mutual Fund					
3789.273	DFA U.S. Core Equity 2 DFQTX	\$16.19	\$61,348.33	20.9%	
2226.115	INTERMEDIATE BOND FUND OF AMERICA-A AIBAX	\$13.06	\$29,073.06	9.9%	
923.166	DFA Tax Managed Us Marke DTMMX	\$24.36	\$22,488.32	7.6%	
1707.232	AMERICAN HIGH-INCOME TRUST-A AHITX	\$11.04	\$18,847.84	6.4%	
1561.928	DFA International Core DFIEX	\$11.67	\$18,227.70	6.2%	
1078.750	GROWTH NY 529 - A	\$12.46	\$13,441.23	4.6%	
702.917	DFA Tax Managed Us Targe DTMVX	\$19.11	\$13,432.74	4.6%	
616.246	Dfa Intl Real Estate DFITX	\$16.62	\$10,242.01	3.5%	
494.751	CAPITAL WORLD BOND FUND-A CWBFX	\$20.11	\$9,949.44	3.4%	
337.379	WASHINGTON MUTUAL INVESTORS FUND-A AWSHX	\$28.89	\$9,746.88	3.3%	

Report Prepared By: Susanne Smith CFP® on October 13, 2022 at 12:21:33 PM US Eastern Standard Time

Page 2 of 6

Asset Classification by Industry Type

The Asset Classification by Industry Type Report shows the client's holdings within a specific industry type.

Quantity	Description / Symbol	Market Price	Total Value	% of Total
Unclassified				
3789.273	DFA U.S. Core Equity 2 DFQTX	\$16.19	\$61,348.33	20.9%
2226.115	INTERMEDIATE BOND FUND OF AMERICA-A AIBAX	\$13.06	\$29,073.06	9.9%
923.166	DFA Tax Managed Us Marke DTMMX	\$24.36	\$22,488.32	7.6%
1707.232	AMERICAN HIGH-INCOME TRUST-A AHITX	\$11.04	\$18,847.84	6.4%
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337.379	WASHINGTON MUTUAL INVESTORS FUND-A AWSHX	\$28.89	\$9,746.88	3.3%

Tracy Aguirre Investment Portfolio
Asset Classification By Industry Type
For Period Ending 10/13/2022

Carol Yezno
Broadridge Financial Solutions
(866) 209-2440 

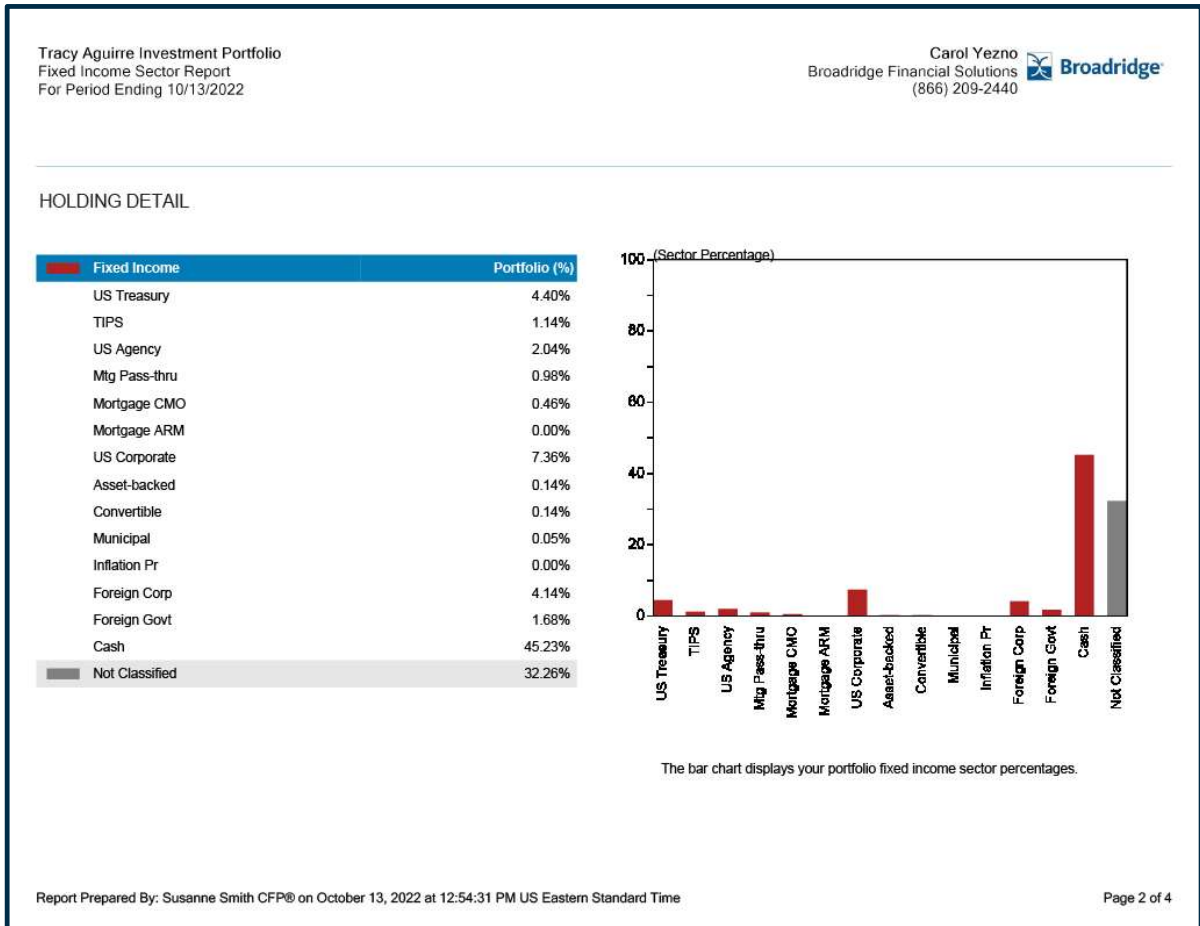
INDUSTRY TYPE | DATA

Report Prepared By: Susanne Smith CFP® on October 13, 2022 at 12:22:21 PM US Eastern Standard Time

Page 2 of 6

Fixed Income Sector

The Fixed Income Sector Report reflects the categories for each bond type based on % of total value across the portfolio broken down into a table and comparative graph.



Realized Gain/Loss Reports

The Realized Gain/ Loss Report displays short and long-term gains for assets sold during the time specified in the Report Hub. All information is based on the Net Cost information available for each holding. The user can set a custom date range of their choice.

Tracy Aguirre Investment Portfolio Realized Gain/Loss For Period from 1/1/2022 to 6/30/2022							Carol Yezno Broadridge Financial Solutions (866) 209-2440 				
REALIZED GAIN/LOSS											
Quantity	Date Bought	Market Price	Net Cost	Date Sold	Unit Price	Proceeds	Short Term		Long Term		
							Gain	Loss	Gain	Loss	
*Dfa Real Estate Securities Fund											
41.085	11/6/21	\$27.44	(\$1,127.37)	6/17/22	\$24.34	\$1,000.00	\$0.00	(\$127.37)	\$0.00	\$0.00	
			(\$1,127.37)			\$1,000.00	\$0.00	(\$127.37)	\$0.00	\$0.00	
DFA Inflation Protected											
44.484	11/6/21	\$10.11	(\$449.73)	6/8/22	\$11.24	\$500.00	\$50.27	\$0.00	\$0.00	\$0.00	
			(\$449.73)			\$500.00	\$50.27	\$0.00	\$0.00	\$0.00	
Dfa One Year Fixed											
442.043	11/6/21	\$10.20	(\$4,508.84)	6/8/22	\$10.18	\$4,500.00	\$0.00	(\$8.84)	\$0.00	\$0.00	
			(\$4,508.84)			\$4,500.00	\$0.00	(\$8.84)	\$0.00	\$0.00	
GROWTH NY 529 - A											
1.904	3/25/22	\$14.01	(\$26.68)	5/13/22	\$13.13	\$25.00	\$0.00	(\$1.68)	\$0.00	\$0.00	
			(\$26.68)			\$25.00	\$0.00	(\$1.68)	\$0.00	\$0.00	
Schwab Inflation											
46.168	11/6/21	\$9.87	(\$455.68)	6/8/22	\$10.83	\$500.00	\$44.32	\$0.00	\$0.00	\$0.00	
			(\$455.68)			\$500.00	\$44.32	\$0.00	\$0.00	\$0.00	
Schwab Yieldplus Select											
825.620	11/6/21	\$9.49	(\$7,835.13)	6/3/22	\$8.12	\$6,704.03	\$0.00	(\$1,131.10)	\$0.00	\$0.00	
			(\$7,835.13)			\$6,704.03	\$0.00	(\$1,131.10)	\$0.00	\$0.00	
The Cash Management Trust Of America-A											
811.980	11/10/21	\$1.00	(\$811.98)	1/12/22	\$1.00	\$811.98	\$0.00	\$0.00	\$0.00	\$0.00	

Report Prepared By: Susanne Smith CFP® on October 13, 2022 at 12:49:27 PM US Eastern Standard Time

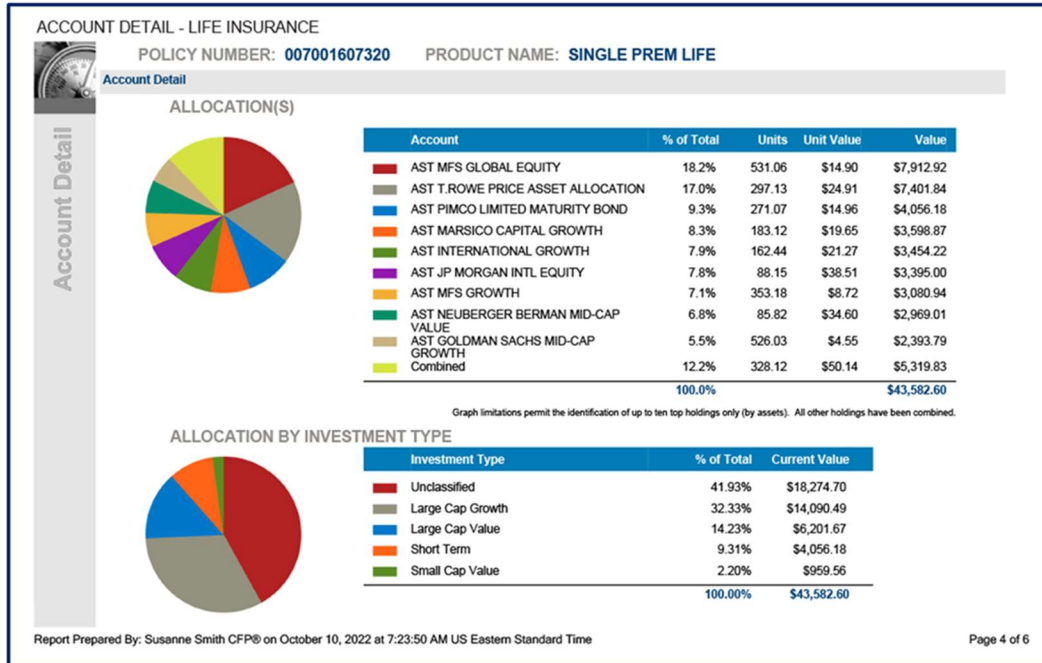
Page 2 of 7

Insurance Reports

Broadridge has many reports to help users manage their clients invested in annuities and other insurance products. These reports include interactive allocation graphs and important contract and policy values that include face and surrender values, net death benefits, withdrawals and premiums paid.

Insurance Account Detail

The Insurance Account Detail Report provides information for each holding in a client portfolio. Fields included on this report are units, value per unit and unit value as a whole.



ACCOUNT DETAIL - LIFE INSURANCE

POLICY NUMBER: 007001607320 PRODUCT NAME: SINGLE PREM LIFE

Policy Detail		Interested Parties	
Issued By:	Prudential Annuities Life Assurance Corporation	Secondary:	Robin Tanner
Product Name:	SINGLE PREM LIFE	Owner:	Xavier Tanner
Product Type:	Variable Universal Life Insurance		
Status:	Active		
Market:	Non-qualified		

Financial Detail	
Policy Value:	\$43,014.14
Surrender Value:	\$100,000.00
Total Withdrawals:	\$47,595.13
Face Value:	\$45,000.00
Net Death Benefit:	\$74,000.00
Death Benefit:	\$100,000.00

Insurance Portfolio Premium

The Insurance Portfolio Premium Report reflects insurance premiums/contributions by policy or contract number. The following fields are reflected: Policy/Contract Number, Owner, Insured/Annuitant, Beneficiary, Product Type, Issuing Carrier, and Premiums.

INSURANCE HOLDINGS BY POLICY/CONTRACT NUMBER						
Policy / Contract #	Owner	Insured / Annuitant	Beneficiary	Product Type	Issued By	Premium
007001607320	Xavier Tanner		E A E	Variable Universal Life Insurance Non-qualified	Prudential Annuities Life Assurance Corporation	

Insurance Benefit Composition

The Insurance Benefit Composition Report provides the same information as the Account Detail Report but includes Morningstar asset allocation/composition graphs and visual representation and the cost/benefit of the contract/policy.

BENEFIT COMPOSITION - LIFE INSURANCE			
POLICY NUMBER: 007001607320		PRODUCT NAME: SINGLE PREM LIFE	
Policy Detail		Interested Parties	
Issued By:	Prudential Annuities Life Assurance Corporation	Secondary:	Robin Tanner
Product Name:	SINGLE PREM LIFE	Owner:	Xavier Tanner
Product Type:	Variable Universal Life Insurance		
Status:	Active		
Market:	Non-qualified		
Financial Detail			
Policy Value:	\$43,014.14		
Surrender Value:	\$100,000.00		
Total Withdrawals:	\$47,595.13		
Face Value:	\$45,000.00		
Net Death Benefit:	\$74,000.00		
Death Benefit:	\$100,000.00		

Insurance Portfolio Account Review

The information in the Insurance Portfolio Account Review Report is sorted by market and product type. Valuation and benefit summary are provided for all the contract/policy values by product type in addition to allocation breakdown for each contract in a client portfolio.

PORTFOLIO VALUES BY PRODUCT TYPE DETAIL							
	Contract / Policy Number	Owner	Account Type	Issued By	Product Name	Gross Cash / Account Value **	Benefit Value (Where applicable)
Portfolio Values	Life Insurance						
	007001607320	Xavier Tanner	Non-qualified	Prudential Annuities Life Assurance Corporation	SINGLE PREM LIFE	\$43,014.14	\$74,000.00
	Life Insurance Totals:					\$43,014.14	\$74,000.00

Report Builder

The Report Builder is a tool that allows users to assemble various report modules into one consolidated report. The user can choose different layouts that include full page, half page, and quarter page reports. Each modular report contains a Portfolio Definition module which will provide all the necessary details such as account owner, account information and product company information for each account listed in the report. This allows each module to show specific details without having to show all the account information every time. Users can include these Report Modules along with the Broadridge catalog of Signature Reports, Custom Reports and Custom Reports Kits for client reviews.

- Report Module Types**
- Change in Value
 - Performance
 - Holdings
 - Asset Allocation

Change in Value Modules

The change of value module allows a user to present a comparison of values between two points in time. From the report hub, the user can select preferences of Account Type, Product Company, and Owner.

The screenshot shows the 'REPORT HUB' interface. At the top, there is a blue header with the text 'REPORT HUB'. Below the header, the 'Report Module' section is active, with 'Primary' and 'Preferences' tabs. Under 'Allocation Type', there is a dropdown menu set to 'Composition' and two radio buttons: 'Asset Class' (unselected) and 'Sub-Asset Class' (selected). The 'Variable ID' section features a dropdown menu with 'AccountType' selected, and a list of options: 'AccountType', 'Owner', 'ProductCompany' (highlighted in blue), and 'None'. To the right of this list is a checkbox labeled 'Cover Page' which is currently unchecked.



CHANGE IN VALUE NET / ACCOUNT TYPE			CHANGE IN VALUE / PRODUCT COMPANY		
Account Number	008643518659		Account Number	008643518659	
Account Type	Individual		Product Company	Charles Schwab	
Beginning Value		\$400,025.61	Beginning Value		\$400,025.61
Ending Value		\$571,869.95	Ending Value		\$571,869.95
Net Change		\$171,844.34	Net Change		\$171,844.34

CHANGE IN VALUE SUMMARY / OWNER		
Account Number	008643518659	
Owner	Sandi Lara	
Beginning Value		\$400,025.61
Additions		\$0.00
Withdrawals		\$0.00
Market Change		\$171,844.34
Ending Value		\$571,869.95

Performance Modules

The Report Builder has many options to gauge client portfolio performance. The report hub gives the user the option of what methodology is used to calculate performance and many other options, including various milestones dates or a calendar to select a specific timeframe they want to display performance for.

REPORT HUB

Report Module

Primary Preferences

Start Date: 01/01/2023

End Date: 12/31/2023

Rep Selection: Bayer, Rajesh - (RajeshBayer)

Draft Close after Run

REPORT HUB

Performance

Primary Indices Preferences Review Cash Flows Milestones

Performance Type: Simple

Group Name on Report: William Lowen

Gross Of Fees Cover Page

Include manually created accounts

The Change in Holdings Module includes a 12 Month Trend graph for each account in a client portfolio. Using the Multi Period Performance and Top 10 Performance Module, the user can compare account performance for multiple periods of time with benchmark comparisons for indices of their choice.

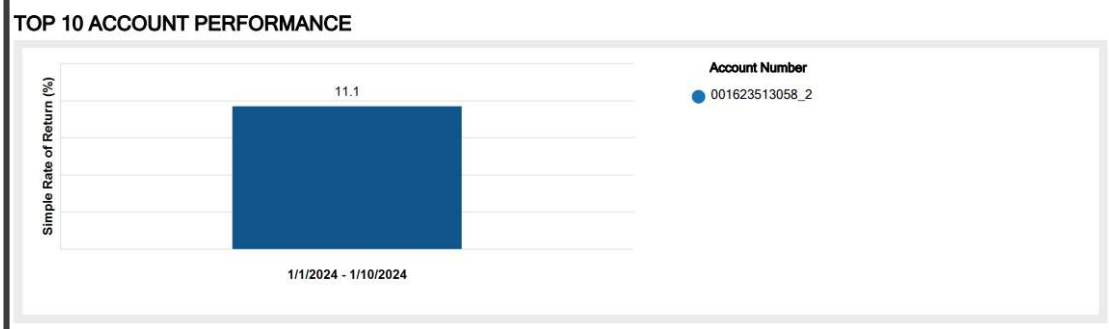
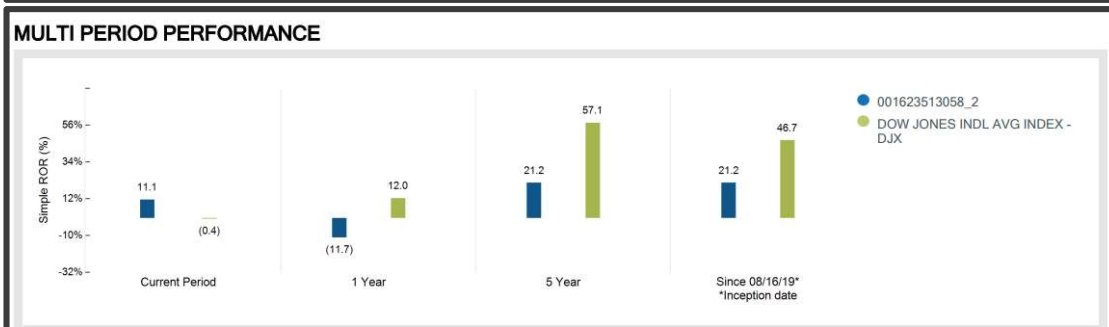
WYATT & FREDA DOUGLAS ASSETS

Account Number Account Type	Owner Product Company	Account Value	% of Assets*	12-Month Trend
0090645867525 Variable Annuity Products	Melvin Hoover American Funds	\$3,596,892.78	55.51%	
001623513058_1 Trust	Angela Vaughn Charles Schwab	\$2,249,020.83	34.71%	
000234932251 Transfer on Death	Wyatt Douglas Charles Schwab	\$610,037.06	9.41%	
006812930463 IRA - Roth	Freda Douglas Charles Schwab	\$8,924.86	0.14%	
006870503490 Retirement	Wyatt Douglas Charles Schwab	\$8,195.50	0.13%	
004870931506 IRA - Traditional	Wyatt Douglas Charles Schwab	\$4,919.12	0.08%	
0043443439772 401K Defined Contribution Plan	Wyatt Douglas American Funds	\$2,173.48	0.03%	
Assets Total		\$6,480,163.60	100.00%	

*Percentages may not be exact due to rounding.

CHANGE IN HOLDINGS

Asset Name	Beginning Value	Additions	Withdrawals	Market Change	Ending Value	Net Change
0001383068633_2 INVESCO MODERATE ALLOCATION FD - CL A	\$6,597.74	\$0.00	\$0.00	\$53.59	\$6,651.33	\$53.59
Portfolio Total	\$6,597.74	\$0.00	\$0.00	\$53.59	\$6,651.33	\$53.59



Holdings Summary Module

The Top Holdings Summary Module displays the top 5 holdings in the client portfolio.

TOP HOLDINGS SUMMARY			
Description	Investment Type	Value	% *
FUNDAMENTAL INVESTORS-	Mutual Fund	\$1,158,115.10	37%
The Cash Management Trus...	Mutual Fund	\$328,621.73	10%
SMALLCAP WORLD FUND-A	Mutual Fund	\$259,336.08	8%
THE GROWTH FUND OF	Mutual Fund	\$223,873.34	7%
WASHINGTON MUTUAL	Mutual Fund	\$222,674.24	7%
Total		\$2,192,620.49	69%
18 Other Holdings		\$969,250.51	31%

*Percentage of Portfolio analyzed will not equal 100% when top holdings do not include all holdings.

Asset Allocation Module

Asset Allocation Models for a client portfolio are also available as a report module. The user can choose between allocation by composition or objective on the report hub.

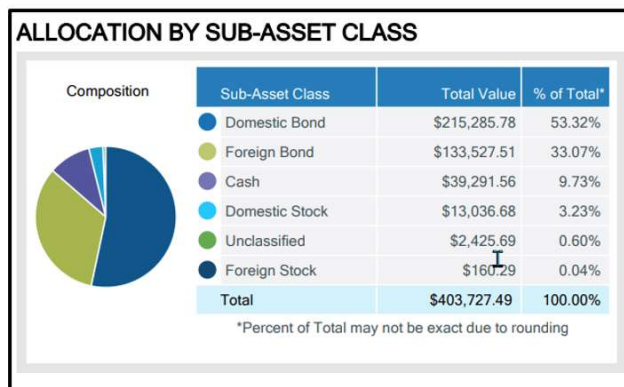
REPORT HUB

Report Module

Primary Preferences

Allocation Type:

Composition
 Composition
 Objective



Report Disclosures and Glossary of Terms

At the end of every client report you will find definitions of terms that are included on all Broadridge reports as well as disclosures that can be custom made to fit your firm's needs.

IMPORTANT DISCLOSURES

Consolidated reporting provided via third party data aggregator and portfolio performance engine, under contractual agreement with the broker dealer. This consolidated report is based on custodian(s) and/or Financial Representative provided account detail and is reflected here informationally, as a customer courtesy. Account information contained within this report has been provided by the custodian directly, your Financial Representative or, in the alternative, via third party data provider. The information herein is from sources deemed to be reliable, but its accuracy cannot be guaranteed.

Report content supplements, but is not intended to replace, the official customer account statement (hereafter referred to as "source document") provided by the custodian. You are encouraged to review and maintain the official source document(s) provided by the account custodian(s). These source documents may contain notices, disclosures and other important information and may also serve as a reference should questions arise regarding the accuracy of the information in this consolidated report. Always refer to these source documents for lending, legal or tax purposes. Calculations based on and valuations stated in US Dollars.

Bundled fees, or administrative fees may include, but are not limited to fees associated with the maintenance or general activity of an account. These can include IRA maintenance fees, legal transfer fees, and other fees generated by account activity. Administrative fees will not reduce the performance of a portfolio. For questions regarding administrative fees charged against your account, please refer to the official account statement(s)/source document(s) provided by the account custodian or contact your financial advisor.

The aggregate return for a group of accounts is weighted and determined by adding the beginning balance of the period to net cash flows and applied to each account within the portfolio for the specific period. As it relates to Group reports, Annualized Returns may be impacted when accounts within the Group are held for less than the report period.

The aggregate return for grouped portfolios is weighted by adding the beginning balance of each period to net cash flows for the same period and then attributing the performance of each account within a household to the percentage of the total household for each period. For calculation purposes, associated account fees are considered cash flows.

Past Performance is no guarantee of future results. The SEC does not permit prediction, projection or guarantee that future performance will mirror historical returns for securities products. You may lose money.

Income and Yield figures represent historical payments received in the 12 months preceding the date of the report.

Fee Based Account NOTICE: Account valuation(s) may not reflect the deduction of applicable advisory fees. For questions regarding investment advisory fees charged against an account, please refer to the statement provided by the account's custodian or contact your financial advisor. As it relates to performance reporting; the portfolio return is net of advisory fees. For calculation purposes, fees other than advisory fees are considered cash flows.

Loan values within life insurance policy(ies) or annuity contract(s) may not have been excluded from performance figures. In these instances, policy/contract valuations and/or performance may not be accurately reflected. Please contact the carrier directly for loan valuation and/or performance information.

Broadridge, a global Fintech leader with over \$4.5 billion in revenues and part of the S&P 500® Index, provides communications, technology, data and intelligence. We help drive business transformation for our clients with solutions for enriching client engagement, navigating risk, optimizing efficiency and generating revenue growth.

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